



LEADERSHIP PROFILE

Charles Carter is a Managing Director at Oxford Financial Group, Ltd. He is a member of Oxford's Wealth Planning Council and directs firm resources to deliver a personalized and dedicated family office experience to enhance clients' wealth. Charles is committed to superior client service and the execution of a thoughtfully crafted family wealth MasterPlan, which together enable clients to focus more of their time and attention toward pursuing their passions.

Charles often works with business owners and founders and is a passionate thought leader for the critical wealth planning opportunities preceding closely-held business transactions or public offerings. He works collaboratively with preeminent investment bankers, attorneys, tax and insurance advisors utilizing statute-protected measures to proactively safeguard wealth.

Charles' work with clients focuses on aligning family capital with their uniquely defined family, community and philanthropic goals. Offering a true family

CHARLES R. CARTER, CFP®, CEPA MANAGING DIRECTOR



"I find great joy listening to successful entrepreneurs' unique journey and discovering their higher-purpose goals which lie ahead. Thoughtfully delivering clarity and peace of mind for clients to pursue these aspirations is my ultimate passion."

office experience, Charles also enjoys working closely with multi-generational family members to promote the stewardship of his clients' family legacies and values.

Charles brings to Oxford nearly 20 years of experience serving individuals and families with broad financial planning expertise. Prior to joining the firm, Charles served as a Vice President at Highland Capital Brokerage and built a practice providing independent wealth planning counsel to top investment, legal and insurance professionals throughout the Midwest to support their client relationships. He is a graduate of the University of Kentucky Gatton College of Business and Economics, a CERTIFIED FINANCIAL PLANNER™ professional, a Certified Exit Planning Advisor and a member of the National Association of Personal Financial Advisors.

Though originally from Ohio, Charles and his wife live in Indianapolis with their two young daughters. In his free time, Charles is an avid golfer and enjoys playing tennis.

CERTIFIED FINANCIAL PLANNER™ (CFP®) professionals are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board (www.cfp.net), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct. The Certified Exit Planning Advisor (CEPA) Program is a five-day executive MBA-style program that trains and certifies qualified professional advisors in the field of exit planning. OFG-2102-22