

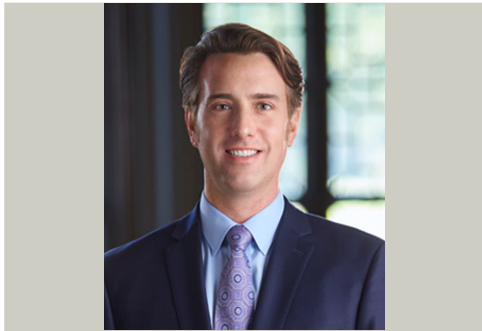


OXFORD FINANCIAL GROUP, LTD.™

LEADERSHIP PROFILE

MATTHEW MOHLMAN, J.D.

DIRECTOR, COMPLIANCE & LEGAL AFFAIRS



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“Oxford is committed to maintaining the highest ethical standards in its mission to deliver exceptional service to its clients.”

Matthew Mohlman is the Director, Compliance & Legal Affairs for Oxford Financial Group, Ltd. He provides Oxford with extensive legal and compliance experience in the financial services industry. Matthew brings with him a deep knowledge of how to effectively run investment management firms and implement tailored compliance programs.

Prior to joining Oxford, Matthew served as General Counsel and Chief Compliance Officer for an asset management firm in Chicago. He earned a Juris Doctor from the American University College of Law with a concentration in financial regulation. During his time in Washington, he interned at both

the Securities and Exchange Commission and the Commodities Futures Trading Commission. He is a member of the bar in both New York and Illinois.

Matthew earned a Bachelor of Arts from Xavier University and a Master of Arts from Texas A&M University. He has held numerous securities licenses including the Series 3, 4, 7, 9, 10, 24, 53, 65 and 66. Additionally, he serves on the Board of Advisors for Catholic Charities of the Archdiocese of Chicago.

Matthew was born and raised in Indiana and has three young daughters and a son with his wife, Joan.