



LEADERSHIP PROFILE

Lisa Walker is the Chief Operations and Risk Officer for Oxford Financial Group, Ltd. and Chief Executive Officer for The Trust Company of Oxford™ (TCO). She is responsible for all operational and administrative aspects of Oxford's business units, including Client Service, Family Office Services of Oxford and The Trust Company of Oxford.

Lisa serves as Chair for several Oxford committees including the Operations Management Committee, Ethics Committee, Senior Management Committee, TCO Board and TCO Executive Committee.

Having spent more than twenty years in the investment services industry, Lisa's expertise is primarily in operations, compliance and risk management.

LISA A. WALKER, CRCP
CHIEF OPERATIONS AND RISK OFFICER,
OXFORD FINANCIAL GROUP, LTD.
CHIEF EXECUTIVE OFFICER,
THE TRUST COMPANY OF OXFORD™



"At Oxford, we are committed to providing our clients superior quality service through hard work and innovation while maintaining strong business principles and ethics."

Prior to joining Oxford, Lisa spent eight years as Chief Operating Officer and Chief Compliance Officer for the Asset Management Division at Comerica, Inc., a division with over 24 billion in assets under management. In that role, her primary responsibilities included reducing risk exposure and improving profitability.

Lisa earned the Certified Regulatory Compliance Professional (CRCP) designation from FINRA through the Wharton School in 2009.

She is currently a member of the National Society of Compliance Professionals, the Risk Management Association and the National Association of Professional Women. She was born and raised in the Midwest, and she and her husband, Tim, have two college-aged daughters.

The Certified Regulatory and Compliance Professional® (CRCP®) designation is awarded to compliance, legal or regulatory professionals who demonstrate knowledge of securities, laws and regulations. Candidates must complete two non-consecutive week-long residential courses, followed by an exam at the end of each weeklong course. The CRCP® requires 12 hours of continuing education every three years. OFG-2011-28