LEADERSHIP PROFILE

C. RICHARD DAVIS II, J.D., CFP®
MANAGING DIRECTOR

"I personally appreciate the value that Oxford delivers to our clients. We build trust with discretion and unbiased advice. And with our unique way of working with clients and their professional advisors, we ensure that every plan, recommendation and good idea is implemented. With integrity, accountability and detailed follow-through, we build and protect family legacies for our clients."

Rick Davis is a Managing Director of Oxford Financial Group, Ltd. and is a member of Oxford's Family Office Services practice, Board of Directors and Value Council Committee. Rick provides advice and counsel to Oxford's family clients in the areas of estate and financial planning, business succession, wealth accumulation and development of family gifting strategies.

Rick's unique background and experience enable him to assist family business owner clients with continuity and succession planning, coordination of professional advisors and facilitation of family meetings.

Rick graduated in 1981 with high distinction from the Indiana University Kelley School of Business with a Bachelor of Science degree in Business Finance. He attended the University of Michigan Law School, where he was an editor of the Michigan Law Review. He went on to practice law in New York City with Sullivan & Cromwell, a notable Wall Street law firm, advising investment banking, financial institutions and large industrial clients in the areas of securities regulation and finance.

Prior to joining Oxford, Rick was a co-owner of a three-generation family business in Indianapolis, where his responsibilities included strategic planning, finance and oversight of legal, tax, accounting, governance and insurance matters.

Rick has long played an active role in the Indianapolis community. He has served as Chairman of the Better Business Bureau of Central Indiana, President of the Builders Association of Greater Indianapolis and Director of the Indianapolis Art Center. Rick is a member of the AAA Hoosier Motor Club Board of Directors and its related insurance subsidiaries. He has also served as Chapter Chair of the Indiana Chapter of both Young Presidents' Organization and World Presidents' Organization, as well as Chair of the Central U.S. Region of YPO.

Rick has worked with numerous charitable and philanthropic organizations, most recently serving as a member of the National Lay Review Committee of the Juvenile Diabetes Research Foundation, where he helps oversee the distribution of more than $120 million in funding for Type 1 diabetes research each year.

The Juris Doctor (J.D.) is a graduate degree in law. CERTIFIED FINANCIAL PLANNER™ (CFP®) professionals are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements include: bachelor's degree from an accredited college or university, completion of the financial planning education requirements set by the CFP® Board (www.cfp.net), successful completion of the CFP® Certification Exam, comprised of two three-hour sessions, experience requirement: 6,000 hours of professional experience related to the financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements, successfully pass the Candidate Fitness Standards and background check, agree annually to be bound by CFP® Board's Standards of Professional Conduct and complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and Standards of Professional Conduct. OFG-2006-10